

Report of the Director for People and Improvement

## **Comprehensive Performance Assessment – Self Assessment**

### **Summary**

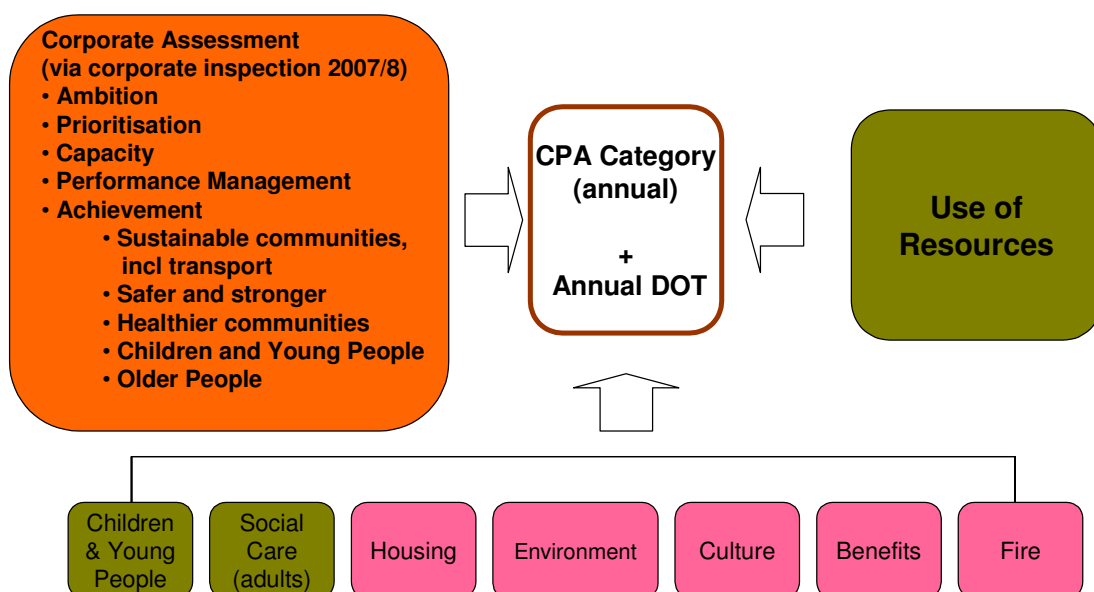
1. The report introduces our draft self assessment (Annex A) which will be submitted to the Audit Commission on the 10th December 2007, in preparation for our corporate inspection in January 2008.

### **Background**

#### **CPA Context**

2. Comprehensive Performance Assessment (CPA) measures how well councils are delivering services for local people and communities. It looks at performance from a range of perspectives and combines a set of judgments to provide both a simply understood rating and a more complete picture of where to focus activity to secure improvement.

### **CPA Context - Model**



Rules Colour Coding Key

Level 1

Level 2

3. The overall CPA star rating for the council (0 to 4 stars) is made up of 8 individual star ratings:
  - Corporate rating
  - Seven ratings for seven key service blocks (Benefits, Culture, Housing etc)
- There are a set of rules to bring these eight individual ratings together.
- Each of the seven service ratings is updated annually.
- The corporate rating is developed through a major corporate inspection.
- Our last corporate inspection was in Autumn 2002.
- Our next corporate inspection will be in January 2008

### **Corporate Inspection Process**

4. The Audit Commission will send in a team of CPA inspectors over a two week period (beginning 28th January 2008)
5. A corporate self assessment will be submitted beforehand. This will form the basis of the inspection (deadline 10th December 2007)
6. The Audit Commission will assess York against a set of criteria – called Key Lines of Enquiry (KLOE)
7. Simultaneously – The Joint Area Review (JAR) inspection for Children and Young People will be taking place, to inspect Children and Young People's services (one of the service blocks). The two inspection teams cross-reference with each other.
8. In the inspection weeks, the inspectors will want to talk to Members, senior managers, managers, staff, customers, partners, other government bodies. This will be in the form of interviews, focus groups, surveys etc

### **Corporate Inspection Framework (KLOE)**

9. There are five elements that we have to base our self assessment around– each worth 20% of the final judgement:
  - Ambition
  - Prioritisation
  - Capacity
  - Performance management
  - Achievement
10. Fundamentally the inspection is about asking three basic questions:
  - 1) What are we trying to achieve?
  - 2) How are we going to achieve it?
  - 3) Can we provide evidence of achievement against the programme?

## Consultation

11. Consultation on the detail of the self assessment has been wide ranging and inclusive in the hope of producing a document which is truly representative of our present position against the Audit Commission's Key Lines of Enquiry. Accordingly we have engaged with a range of stakeholders, both internal and external, to seek their views in shaping the document.
12. As the self assessment will form the starting point for the inspection team's work it is important that those people they will interview have had chance to have their say and recognise what the document contains. Consultation work has included engagement with Members, Departmental Management Teams and unions. Externally we have sought views, for example, from the Local Strategic Partnership and its thematic groups as well as community and interest groups represented on Inclusive York Forum.

## Options

13. We have a requirement by the Audit Commission to submit a self assessment.

## Analysis

14. See above.

## Corporate Priorities

15. The self assessment document is descriptive of our present strategic position and also indicates the direction of our future improvement journey. Accordingly it contains strong links to our Corporate Strategy and this, together with the extent to which it is embedded in the organisation, will in turn play a central role in informing the judgments of the inspection team.

## Implications

- **Financial** No implications
  - **Human Resources (HR)** No implications
  - **Equalities** No implications
  - **Legal** No implications
  - **Crime and Disorder** No implications
  - **Information Technology (IT)** No implications
  - **Property** No implications
  - **Other**
16. The self assessment forms the basis for our corporate inspection. Our corporate inspection score counts as a third of our overall CPA score. It is the only component that is not reviewed annually, therefore the score will be used in our overall rating until our next inspection (minimum of three years till our next inspection).

## Risk Management

17. The CPA project team, lead by the Director of People and Improvement, have a risk register for managing the inspection process.

## Recommendations

18. It is recommended that the Executive endorse the content of the self assessment and appreciate that it will be subject to editorial changes by the Chief Executive.

Reason: To enable the self assessment to be sent to the Audit Commission according to their required timetable. We welcome any further comments from the Executive before submission.

## Contact Details

### Author:

**Author's name**

Janna Eastment

**Title** Improvement Officer

**Dept Name**

Policy, Improvement and

Equalities Team

Tel No. 01904 551019

**Wards Affected:** *List wards or tick box to indicate all*

### Chief Officer Responsible for the report:

**Chief Officer's name** Heather Rice

**Title** Director of People and Improvement

**Report Approved**

**Date** 7<sup>th</sup> Nov 2007

**All**

**For further information please contact the author of the report**

Annexes

**All annexes to the report must be listed here.**

*Annex A – City of York Council's CPA self assessment*